#### Computing Science (CMPUT) 455 Search, Knowledge, and Simulations

James Wright

Department of Computing Science University of Alberta james.wright@ualberta.ca

Fall 2021

1

Today's Topics:

- More on size of state space, effort of solving a game
- Sequential decision-making

- Quiz 3
- Assignment 1
- Reading O'Neil, How algorithms rule our working lives
- Activities Lecture 5
- Python codes count\_dag.py, generate\_tree.py, generate\_tree\_test.py

- Compute size of game trees
- When does a tree grow more quickly?
  - When increasing b?
  - When increasing d?
- Compare two cases

Size and Structure of State Space for Games

# Review: State Space vs Playing and Solving a Game

- What is the complexity of solving, or playing well, in a game?
- Depends on many factors:
  - Branching factor
  - Depth
  - Existence of a simple strategy
  - Existence of a mathematical theory
  - Having master players, master games, books to learn from
  - Having good heuristics
  - ...

# Review: State Space vs Playing and Solving a Game

Simple measures of complexity of state space

- Size: how many states?
- Structure: tree, DAG, or DCG?
- Branching factor b
- Depth d

- Assume we need to visit every state in order to solve a game
  - (Later we will see we can do much better)
- How long does it take?
- Main factors:
  - Speed of program
  - Size of state space
- Let's look at  $7\times7$  Go and <code>Go1</code>

- $7 \times 7$  Go, start on empty board
- Assume we can process 1000 states/second
- Assume simplest tree model, *b* = 49
- What depth *d* can we reach in which time?
- Can we explore the whole state space?

. . .

...

Table: Each row shows the estimated *additional effort* to search one level deeper

Depth	New states	Added search time
0	1	1ms
1	49	50ms
2	49 <sup>2</sup>	2.4s
3	49 <sup>3</sup>	2 min
4	49 <sup>4</sup>	1.6 hrs
5	49 <sup>5</sup>	3.2 days
6	49 <sup>6</sup>	160 days
7	49 <sup>7</sup>	21.5 years

...

# Fighting Exponential Growth

- We cannot even search 7 moves deep with Go1
- To solve the game we need to see to the end
- This can be over 30 moves deep even for this small board
- Time limits in practice
  - 5 sec 5 min per move in a tournament game
  - Maybe a few months to solve a game
- How can we succeed?
  - Increase speed of program (Lecture 6)
  - Decrease branching factor b (now)
  - Decrease depth d (later)

- Branching factor = growth of number of states per level
- How to decrease?
  - Reduce number of moves (but how?)
  - Use DAG instead of tree
  - Better search algorithms (e.g. alphabeta search)

- Branching factor = growth of number of states per level
- How to decrease?
  - Reduce number of moves (but how?)
  - Use DAG instead of tree
  - Better search algorithms (e.g. alphabeta search)
- Question: How would using a DAG help decrease the branching factor?

- Branching factor = growth of number of states per level
- How to decrease?
  - Reduce number of moves (but how?)
  - Use DAG instead of tree
  - Better search algorithms (e.g. alphabeta search)
- Question: How would using a DAG help decrease the branching factor?
- First try: take symmetry into account

# Example - Use Symmetry in TicTacToe



- At root: Only 3 of 9 total moves are different
  - Corner (A), Edge (B), Center (C)
- All 6 other moves lead to a symmetric position, same result as A or B
- Symmetries at tree level 1:
  - After corner or edge move: 5 distinct cases
  - After center move: 2 distinct cases
- Limitation: most symmetries broken after few moves

- Typical example to reduce state space by symmetry
- Good reduction at depth 1 or 2
- Then symmetry breaks
- Almost no reduction deeper in the tree
- Reduction of whole state space is limited to some constant factor
  - Less than 8 in Go

# DAG (Directed Acyclic Graph)



- Idea: single node for all equivalent states
- Different paths to same node
- Can lead to huge reduction in state space
- Why?
  - The whole *subtree* below is no longer duplicated
  - Can happen throughout the whole game

# Tree vs DAG

- Tree model
  - · Each action leads to a new node
- DAG model
  - All equivalent states represented by a single node
- Reduction in size of state space
  - Can be many orders of magnitude
  - Examples: Activities 5c 5e
- Advantages of DAG model:
- Avoid redundant computations
  - No copied subtrees or sub-DAGs
- Share results of analysis compute once, re-use often

- Main problems:
  - Need memory to store and recognize equivalent states
  - Some algorithms designed only for trees, not for DAGs
- Example: propagating information up towards root
  - Only one path up in tree efficient
  - Many paths in DAG many ancestors

Limitation: states with different history

- Cannot always merge into one node, not always equivalent
- Example: simple ko is capture allowed?
- Board looks the same but moves are different
- Can you still do something? Yes. One of Martin's students wrote a whole PhD thesis on such questions (Kishimoto 2005)

### Counting States in a DAG



- Simplified GoMoku example, also counts illegal states
- Depth 0: 0 black, 0 white stones
- Depth 1: 1 black, 0 white stones
- Depth 2: 1 black, 1 white stones
- Depth 3: 2 black, 1 white stones
- Depth d: [d/2] black stones and [d/2] white stones
- How many ways to put that many stones on a board with 49 points?

# Counting States in a DAG (continued)

- Example:
- Board with 49 squares
- How many different ways to place 5 black stones?
- Answer:  $\binom{49}{5} = 1,906,884$
- Need to review the math background? See e.g. https://en.wikipedia.org/wiki/Combination

- How many different ways to place 5 black stones and 3 white stones?
- Answer:  $\binom{49}{5}\times\binom{44}{3}=1,906,884\times13,244\approx25.2$  billion
- Why?
  - $\binom{49}{5}$  ways to place 5 black stones
  - $49^{-} 5 = 44$  empty points remaining
  - $\binom{44}{3}$  ways to place the 3 white stones there
  - Each different choice for either black or white leads to a different position, so multiply

#### Activity 5d: Count States in Tic-Tac-Toe DAG

- TicTacToe board has 9 squares
- Ignore symmetry, early wins for now
- At level d:  $\lceil d/2 \rceil$  X's and  $\lfloor d/2 \rfloor$  O's
- Compute number of positions with 0, 1, 2, 3, ..., 9 stones

0 stones	0 X, 0 O	1 position
1 stone	1 X, 0 O	9 positions
2 stones	1 X, 1 O	? positions
3 stones	2 X, 1 O	? positions
4 stones	2 X, 2 O	? positions
		? positions
9 stones	5 X, 4 O	? positions

Hint: Python code in count\_dag.py is useful...

- Counted the number of states in a DAG at each depth d
- What about the branching factor?
- No longer a constant b for whole DAG
- Different *effective branching factors* b<sub>d</sub> depending for each depth (or level) d
- Can compute  $b_d$  at depth d as:

$$b_d = \frac{\# nodes - at - depth d + 1}{\# nodes - at - depth d}$$

• Activity 5e: compute the effective branching factor for the TicTacToe DAG

# Computing Size of State Space in DAG from Branching Factors

- Given branching factors, how many nodes in a DAG?
- 1 root node at depth 0
- *b*<sub>0</sub> children of root → *b*<sub>0</sub> total nodes at depth 1
- Each child has *b*<sub>1</sub> new children
  - $\longrightarrow$  total  $b_0 \times b_1$  nodes at depth 2
- Depth *n*:

 $b_0 \times b_1 \times ... \times b_{n-1}$  nodes

# Computing Size from Branching Factors (continued)

- Total nodes up to depth d1 +  $b_0$ +  $b_0 \times b_1$ + ... +  $b_0 \times b_1 \times ... \times b_{d-1}$
- In general:

no nice closed-form solution for this sum

- In practice: estimate branching factors b<sub>i</sub>
  - Use search or sampling
  - Difficult or impossible to compute them exactly for large games

# b<sup>d</sup> Model vs Reality: Some Case Studies (1)

- How realistic is the b<sup>d</sup> model for size of state space?
- We'll look at some popular games
  - Go, TicTacToe:
  - Roughly,  $b_n \approx b_0 n$
  - Why? One less empty square with each move
  - One less possibility for next move
- Not exact:
  - Ignores illegal move rules
  - Ignores games that end earlier
- Setting  $b_n = b_0 n$  gives  $b_0!$  leaf nodes
  - Earlier TicTacToe example: 9 × 8 × ...1

#### $b^d$ Model vs New estimate: 7 × 7 Go

- $7 \times 7$  Go estimate from Lecture 4:
- 25 moves on average during a game, game length about 30 moves
- Rough  $b^d$  estimate  $25^{30} \approx 10^{42}$
- New model:
- $b_0 = 49$ , and  $b_n \approx b_0 n$
- Stop game at *n* = 30
- $49 \times 48 \times ... \times (49 30) = 49!/18! \approx 10^{47}$
- Still ignores captures, ko, different game lengths,...

# b<sup>d</sup> Model vs Reality: Checkers



Image source:

https://en.wikipedia.org

- Checkers: complicated b
- Beginning: many pieces blocked
- Pieces unblocked: *b* increases
- Forced captures: *b* = 1
- When pieces get captured, b decreases again
- When checkers become kings, b strongly increases
- Estimated average over typical game: b ≈ 2.8
- Length of game d varies wildly

### b<sup>d</sup> Model vs Reality: Chess



Image source:

https://en.wikipedia.org

- Chess: also complicated
- Pieces such as queens can have many moves, but may be blocked
- King in check: often only few legal moves
- When pieces get captured, b decreases
- Estimated average over typical game: *b* ≈ 35
- Length of game d varies wildly

# b<sup>d</sup> Model vs Reality: Shogi



Image source:

https://en.wikipedia.org

- Shogi, Japanese chess
- Similar to chess, plus:
- Captured opponent pieces can be *reused* for yourself in a future move
- With captures, b increases
- Estimated average over typical game: *b* ≈ 92
- b can be several hundred in endgame with many captured pieces available for "dropping" back on board

# Complexity of Popular Games

#### Big table in

https:

//en.wikipedia.org/wiki/Game\_complexity

- Different measures of complexity
- · Complexity also depends strongly on size of board
- In Go, the theoretical complexity is much higher
  - Main reason: capture, play again on same point
  - Example: Go0 player fills eyes, games last VERY long
  - Game ends only if all moves cause full-board repetition

#### Example: Solving $2 \times 2$ Go, $1 \times n$ Go

- $2 \times 2$  Example from John Tromp,
  - https:
  - //tromp.github.io/java/go/twoxtwo.html
- · Naive brute force minimax search: trillions of nodes
- Alphabeta with bad move ordering:
  - 19,397,529 nodes, max. depth 58
- Alphabeta with good move ordering:
  - 1,446 nodes, max. depth 22
- Solving 1 × n Go: Exploring Positional Linear Go recent paper by Noah Weninger (UofA undergrad!) and Ryan Hayward (UofA prof) - see resources

#### Sequential Decision-Making

Topics:

- From decision making to sequential decision making
- Notation for action sequences
- View game tree as tree of move sequences

- We studied state spaces in some detail
- Now, how do we find good actions (moves) in a state?
- In general, looking at the current state is not enough
- We need to look ahead to future states in order to make a good decision now
- We need to consider sequences of actions, until we reach a terminal state
- In games, each sequence is one possible way of playing the game

- How to make good decisions?
- Consider many alternatives
- Consider short-term and long-term consequences
- Evaluate different options and choose the best-looking one
- Understanding and comparing sequences of actions is the main step in making such decisions

# Making Sequential Decisions

Very general model:

Loop:

- Get current state of world
- Analyze it
- Select an action
- Observe the world's response
- If not done: go back to start of loop

Practically important question:

• Can we do this in a *simulation model* as opposed to the real world?

#### Single Agent Example: Path Planning



Image source: Googlemaps

- Task: start from here, visit Google headquarters
- First decision: fly, drive, take the bus, or walk?
- If drive or walk: each street corner is a decision point
- Need a long sequence of decisions to arrive at destination
- Is it optimal? Is it good enough?
- Tradeoffs: Speed vs cost vs scenery vs construction sites ...

- Sequence of states and actions
- Start state s<sub>0</sub>
- Action a<sub>i</sub> leads to next state, s<sub>i+1</sub>
- Keep going until reach a terminal state s<sub>n</sub>
- Sequence (*s*<sub>0</sub>, *a*<sub>0</sub>, *s*<sub>1</sub>, *a*<sub>1</sub>, ...*s*<sub>n</sub>)
- Sometimes we only write the actions (*a*<sub>0</sub>, *a*<sub>1</sub>,...*a*<sub>n</sub>)
  - Example: games where states are determined from game rules and actions

- Formal framework can also include rewards (or costs)
- Simple case (most games we consider): single reward *r* at end
- General case: reward r<sub>i</sub> after each action a<sub>i</sub>
  - Write rewards as part of sequence:
  - $(s_0, a_0, r_1, s_1, a_1, r_2, ..., r_n, s_n)$

- Full sequence goes all the way to terminal state
- Partial sequence can stop after any number of actions
- Two full sequences always share a common prefix
- In worst case, it might only contain the start state
  - Example Go game
  - (*s*<sub>0</sub>, Black B3, *s*<sub>1</sub>, White A2, *s*<sub>2a</sub>, Black D4)
  - (s<sub>0</sub>, Black B3, s<sub>1</sub>, White A4, s<sub>2b</sub>, Black D4)
  - Common prefix (*s*<sub>0</sub>, Black B3, *s*<sub>1</sub>)

#### Re-Interpreting the Tree and DAG Models

- Our model so far:
- State space as a graph
- Nodes are states, edges are actions
- Tree and DAG are special cases of graphs
- New view:

we can view the same trees and DAGs as a way to organize all action sequences

#### Organizing Sequences in Trees



Image source: http://web.emn.fr

- Consider the (huge) set of all possible state-action sequences
- Organize them such that:
- Any two sequences share their longest common prefix
- Branch as soon as they differ
- Result: we get exactly the tree representation of the state space

# Organizing Sequences in a DAG



- Similarly, we can relate sequences to the DAG model
- Start with sequences-as-tree model
- Then, merge two different sequences when they both reach equivalent states
- Result: we get exactly the DAG representation

- Looked at more details and examples of state spaces
- Estimating size of state space in DAG model
- Theory vs reality: state space of some popular games
- Sequential decision-making model
- Relation between tree and DAG models, sequences of decisions

- Lecture 6: profiling and optimization
- Topics for next few weeks:
  - Do we need to look at *all* possible sequences to make a decision?
  - How do we use the tree or DAG structure?
  - Algorithms for decision-making in games on tree and DAG structures
  - How do we use heuristics?
  - Simulation using random sequences of actions